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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

10/076,967

Filing Date

February 15, 2002

First Named Inventor

William E. RICH et al.

Group Art Unit

1645

Examiner Name

To Be Assigned

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TECH CENTER 1600/2900

Attorney Docket Number

016866-005710US

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
  - Fee Attached
- Amendment / Response
  - After Final
  - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
  - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
  - Drawing(s)
- Licensing-related Papers
- Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s)

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

References (6 total)

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Remarks

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Annette S. Parent	
Signature		
Date	October 16, 2002	

### CERTIFICATE OF MAILING

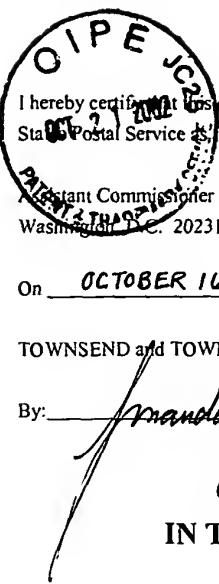
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**PATENT**  
Attorney Docket No.: 016866-005710US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On OCTOBER 16, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Amanda J. Joffrey

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*#7/I.D.S.*

In re application of:

William E. RICH and T. William  
HUTCHENS

Application No.: 10/076,967

Filed: February 14, 2002

For: METHOD FOR CORRELATING  
GENE EXPRESSION PROFILES  
 WITH PROTEIN EXPRESSION  
PROFILES

Examiner: To Be Assigned

Art Unit: 1645

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

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**OFFICE OF PETITIONS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Annette S. Parent  
Reg. No. 42,058

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Substitute Form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

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### Complete if Known

Application Number	10/076,967
Filing Date	February 14, 2002
First Named Inventor	William E. RICH et al.
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	016866-005710US

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### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	1	WO	WO 00/22168	A1	04-20-2000			<input type="checkbox"/>
	2	WO	WO 01/57530	A1	08-09-2001			<input type="checkbox"/>

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	3	BORMAN, S. "Genomics rises to drug discovery challenge," C&EN April 19, 1999, pp. 59-68.	
	4	CHAKRAVARTI, D.N. "Application of genomics and proteomics for identification of bacterial gene products as potential vaccine candidates," Vaccine 2001, pp. 601-612, Vol. 19.	
	5	HANCOCK, W. et al. "Report: Integrated genomic/proteomic analysis," Analytical Chemistry News & Features November 1, 1999, pp. 742A-748A.	
	6	NELSON, P.S. et al. "Comprehensive analyses of prostate gene expression: Convergence of expressed sequence tag databases, transcript profiling and proteomics," Electrophoresis 2000, pp. 1823-1831, Vol. 21.	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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